

**DOCKET NUMBER: RSW920030158US1**

**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

|   |   |                                |
|---|---|--------------------------------|
| In re application of                    | : | Date: October 7, 2003          |
| Rivera, T. et al.                       | : | IBM Corp. - T81/503            |
| Serial #: To be assigned                | : | PO Box 12195                   |
| Filed: herewith                         | : | Res. Tri. Park, NC 27709       |
| For: <b>System and Method for</b>       | : | Group Art Unit: To be assigned |
| <b>Defect Projection in Transaction</b> | : | Customer Number: 25259         |
| <b>Management in a Target</b>           | : | Examiner: Not yet assigned     |
| <b>Computer Environment</b>             | : |                                |

**INFORMATION DISCLOSURE STATEMENT**

Commissioner of Patents & Trademarks  
Washington, D. C. 20231

Sir:

This Information Disclosure Statement is being submitted for the above-identified application for patent. Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.


While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. 1.98(a)(1).

A copy of each of the required items on PTO-1449 are supplied herewith, except for any pending patent applications, for which no copies are provided herein.

Respectfully submitted,

  
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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

**Complete if Known**

|                        |                          |
|------------------------|--------------------------|
| Application Number     | Unassigned               |
| Filing Date            | Unassigned               |
| First Named Inventor   | T. Rivera, et al.        |
| Art Unit               | Unassigned               |
| Examiner Name          | Unassigned               |
| Attorney Docket Number | RSW920030158US1(18223.4) |

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|-------|---|----|---|
| Sheet | 1 | of | 1 |
|-------|---|----|---|

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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